

IN THE UNITED STATES DISTRICT COURT
FOR THE SOUTHERN DISTRICT OF TEXAS
HOUSTON DIVISION

JAMES SIMMONS, §
§
Plaintiff, §
§
VS. § No. 4:19cv3301
§
§
UBS FINANCIAL SERVICES, INC. § Jury Trial Demanded
and PRELLE FINANCIAL GROUP,
INC., §
§
§
Defendants. §

NOTICE OF SETTLEMENT

The remaining parties mediated this case with Richard Brann on March 29, 2021. The case settled. The parties anticipate that the settlement will be completed within thirty days.

/s/ David C. Holmes
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CERTIFICATE OF SERVICE

I certify that a true and correct copy of this pleading was sent electronically to all counsel of record on March 30, 2021.

/s/ David C. Holmes
David C. Holmes